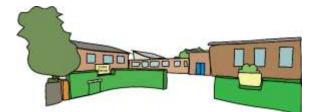
Stoke Bishop Church of England

# **Primary School**



# **Complaints Procedure**



# 'Believing it's Possible'

| Approved by:        | Leadership & Management Committee | Date: February 2022 |
|---------------------|-----------------------------------|---------------------|
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## **Introduction**

Stoke Bishop C of E VC Primary School endeavours to provide the best education possible for all of its pupils in an open and transparent environment. We welcome any feedback that we receive from parents/carers, pupils and third parties, and we accept that not all of this will be positive. Where concerns are raised the school intends for these to be dealt with:

- Fairly
- Openly
- Respectfully
- Promptly
- Without prejudice

In order to do so, the Governing Body of Stoke Bishop C of E Primary School has approved the following procedure which explains what you should do if you have any concerns about the school. It applies to all sections of the school, and sets out the overall policy and associated procedures for handling concerns and complaints.

It has been approved by the school Governing Body, and has been prepared in accordance with the applicable provisions of the Education (Independent School Standards) (England) Regulations 2014 Schedule 1, Part 7 and the school's Public Sector Equality Duty (s.149 Equality Act 2010), as well as the ESFA's Best practice guidance for academies complaints procedures (updated 16 July 2020)

## **Policy statement**

The school wants to know as soon as possible if there is any cause for dissatisfaction, to enable any concerns to be dealt with quickly and fairly, and minimise any damage to relationships within our community. Parents/carers, pupils and third parties should never feel (or be made to feel) that a complaint will adversely affect a child or his / her opportunities at the school.

#### **A. COMPLAINTS POLICY**

#### A1 Who and what is covered by the policy?

The requirements in Part 7 of the Education (Independent School Standards) Regulations 2014 apply only to complaints from parents/carers of pupils at the school, regarding their child.

Academies are expected to handle complaints from people who are not parents of children at the school respectfully and expediently, but do not have to follow the full requirements of the legislation. Details of how the school will handle complaints from third parties are given in section C, paragraph 3.

Some types of complaint are covered by other procedures such as:

- Exclusions
- Staff grievances or disciplinary procedures
- Admissions
- Safeguarding / child protection issues

Please see Appendix B for details of how these issues should be addressed.

#### A2 Policy principles

This policy aims to ensure that concerns or complaints are responded to sympathetically, effectively, and at the appropriate level, and that we are able to learn from them to improve our practices. To this end:

- Initial concerns or enquiries will be dealt with at an informal level whenever possible. In most cases issues should be resolved with an individual member of staff.
- Complaints must be dealt with fairly, impartially and in a non-adversarial fashion.
- Complaints must be fully and thoroughly investigated addressing all the concerns raised and dealt with within the stated time limits. If more time is needed to accommodate the investigation this must be communicated to the complainant.
- Wherever possible it is useful for the complainant to indicate what actions they feel might resolve the issue, as early as possible and at each Stage.
- Complainants must be kept informed of the progress of the complaints process.
- The process seeks to provide an effective and prompt response and appropriate redress where necessary.
- The school will respond to every concern or complaint in a positive way with the intention of putting right any matter which may have gone wrong and, where necessary, reviewing our systems and procedures in light of the circumstances.
- Complainants will be given the opportunity to complete the process in full, unless there is clear evidence that the complaint is considered to be (as described in section C, paragraph 4-7):
  - persistent;
  - unreasonable;
  - anonymous
  - part of a complaint campaign,
- The outcome of a concern or complaint will vary. In responding to, resolving or closing a concern or complaint the school will acknowledge that it is valid in whole, valid in part or invalid. In some cases it may be appropriate for the school to offer one or more of the following:
  - an apology;
  - an explanation;
  - an acknowledgment that the situation could have been handled better;
  - an assurance that the situation will not recur;
  - an explanation of the steps taken to ensure that the situation will not recur; or
  - an undertaking to review the school's systems, procedures or policies in light of the situation,
- For any complaint considered invalid or considered a persistent or unreasonable complaint as described in section C, the school will consider the complaint resolved and will take no further action (save for the actions described in section C).

#### A3 Confidentiality

Correspondence, statements and records relating to individual complaints will be kept confidential save as required by the Education (Independent School Standards) (England) Regulations 2014, namely where access is requested by the Secretary of State or where disclosure is required in the course of the school's inspection or under other legal authority.

In accordance with data protection principles, details of individual complaints will normally be destroyed following each inspection. In exceptional circumstances, some details will be retained for a further period as necessary. In line with GDPR regulations any complaints involving the Governing Body will be kept for 6 years from the date of complaint

Where a complainant asks to be assisted by a third party, information will not be disclosed without written consent from the complainant.

#### A4 Organising Complaints

Informal complaints (referred to as Concerns) are dealt with on the basis of a **'ladder of responsibility'** (see B2 below). This is done to provide alternatives for the complainant and the school in a bid to address complaints and reach a resolution, without them becoming a formal complaint.

For each formal complaint, the Headteacher will agree a senior member of staff to deal with the complaint, to be responsible for dealing with the complaint at Stage 1 and to act as a first point of contact while the matter remains unresolved.

The Headteacher, in conjunction with senior staff and the Clerk to Governors, where appropriate, will:

- Coordinate the complaints procedures across the school
- Arrange assistance for complainants who require this, for example, because of a disability, or an interpreter for those who are not fluent in English.
- Ensure staff are periodically reminded of the requirements of the complaints policy, any updates that are made, and that training requirements around the subject of complaints are reviewed in line with the schools CPD programme on an annual and ongoing basis.
- Ensure a written record is kept of all formal complaints and their outcomes. These documents to be kept with the Complaints Log (see A8).

#### A5 Accessibility

This policy can be made available in large print or other more accessible format, if required. If complainants require any assistance with making a complaint, they should contact the Headteacher, via the school office, who will be happy to provide assistance.

#### A6 Notices

Any notices to be provided to complainants by the school may be made by telephone, email or letter, unless specifically notified by the complainant in writing to the contrary.

#### A7 Timescales

We would normally expect complaints to be made within three months of the date of the last relevant incident. We will consider complaints made outside this timeframe if exceptional circumstances apply.

#### A8 Record keeping and Complaints Log

For all formal complaints the school will maintain a complaints log. This will record details of each complaint (regardless of whether it has been upheld), the Stage each complaint is currently at, the Stage it ultimately progresses to, and the action taken as a result of the complaint. A summary record of this log will be accessible to the Chair of governors at any point they wish to view it.

## **B. COMPLAINTS PROCEDURES**

The procedures set out below may be adapted as appropriate to meet the policy aims and circumstances of each case.

#### B1 Dealing with complaints

Staff are committed to taking all concerns and complaints seriously, addressing them appropriately and as quickly as possible. Some concerns will be a matter for everyday conversations between parents/carers, or third parties and staff, but at times, something more than this is needed. The policy distinguishes between concerns which can be dealt with informally, and the school's formal complaints procedure, as set out in more detail below. The intent is to address issues informally, as concerns, wherever possible since this is usually more appropriate and beneficial to both the complainant and the school.

#### B2 The informal procedure

Any concern should be raised as soon as possible with the relevant member of staff (for instance, the classteacher) who will clarify the nature of the concern. It can be helpful to identify at this point what outcome the individual is looking for.

The school will endeavour to acknowledge such concerns as quickly as possible and, at the outside, within **two school days**. Where any investigation is required a response will be given within **five school days**, although in many cases it would be dealt with much more quickly than that. Where it is not practically possible to investigate and provide the response within the specified timescale of five school days, the school will contact the individual and advise them of the reasons why and provide a revised timescale.

If the member of staff first contacted cannot immediately deal with the matter, they will make a note of the date, individual's name and contact details, and ensure the relevant member of staff contacts the them as soon as reasonably practicable.

If the concern relates to the Headteacher, the individual will be advised to contact the Chair of Governors through the Clerk to the Governors, or an agreed substitute, c/o the School Office.

The staff member dealing with the concern will make sure that the individual is clear as to what action has been (or is being) taken, putting this in writing only if the school consider this to be the best way of making things clear or if it has been requested.

Where the individual is dissatisfied with the response to their concern, they can seek to escalate it on the following 'ladder of responsibility':

- Classteacher
- Hub Lead
- Deputy Headteacher

When the informal avenues for dealing with a concern cannot achieve a resolution, the staff member involved should advise them about this policy and that they should write to the Headteacher c/o Stoke Bishop Church of England Primary School, confirming that they would like their concern to be treated as a formal complaint, in which case the formal procedure below will apply. This should be within five school days of receipt of the school's response to their informal complaint.

If the school does not receive notification in writing within five school days from receipt that the individual would like their informal concern to now be treated as a formal complaint then the school will consider that the issue is resolved and deem the matter closed.

#### B3 The formal procedure

The formal procedure comprises of a three-Stage process:

#### Stage 1: Complaint heard by a Senior Member of Staff

- The complaint should be made in writing and, where possible, on the standard form in Appendix A of this policy.
- The complainant should provide details such as relevant dates, times, and the names of witnesses of events, alongside any copies of relevant documents, and what they feel would resolve the complaint.
- The complaint will be directed to the Headteacher, who will nominate a member of the Senior Leadership Team (SLT) to consider the complaint.
- The school will endeavour to acknowledge the complaint within **two school days** of receipt during term time.
- The nominated member of staff will ensure that the complaint is investigated and that, where
  possible, a response is provided to the complainant within fifteen school days. Where this is not
  possible the school will contact the complainant and advise them of the reasons why and provide a
  revised timescale.

#### Escalation to Stage 2 of the Complaints Procedure

 If the response to the Stage 1 complaint is unacceptable to the complainant, they should write to the Headteacher (or Chair of Governors c/o Stoke Bishop C of E Primary School, if relating to the Headteacher) within five school days of the response from the Senior Member of staff who has been dealing with the complaint.

- The complainant must write to the Headteacher or Chair of Governors, within five school days of receiving the Stage 1 response setting out:
  - the issue(s) which they wish the school to consider in accordance with Stage 2,
  - the reasons why they feel the outcome of Stage 1 was not satisfactory, and
  - the outcomes which they are hoping to achieve.

#### Stage 2: Complaint heard by the Headteacher (or Chair of Governors if relating to the Headteacher)

- Once notification has been received from the complainant, full details of the complaint will be
  passed to the Headteacher, together with any relevant documents and contact details. The school
  will endeavour to acknowledge the complaint within two school days during term time, indicating
  the likely timescale for dealing with the complaint.
- The Headteacher will carry out an investigation which may include a senior member of staff (not the senior member who dealt with the complaint at Stage 1), investigating and reporting their findings to the Headteacher. The Headteacher or investigator may request additional information from the complainant and may wish to speak to the complainant personally and to others who have knowledge of the circumstances.
- Following the outcome of the investigation, the Headteacher will make a decision in relation to the issues raised in the complaint, and notify the complainant of his/her decision and the reasons for it. The Headteacher will aim to respond to the complainant within twenty school days from receipt of the Stage 2 complaint.

Please note:

Any complaint received within one calendar month of the end of term, or during a school holiday, could possibly take longer to investigate. In this case the Headteacher will contact the complainant and advise them of the reasons why and provide a revised timescale.

#### Escalation to Stage 3 of the Complaints Procedure

If the complainant is dissatisfied with the response to their Stage 2 complaint, they should submit a written request for the complaint to proceed to Stage 3 to the Clerk to the Governors (the Clerk)

c/o Stoke Bishop Church of England Primary School (a request by email is acceptable), within five school days of the date of the Stage 2 response from the Headteacher or Chair of Governors.

- The written request should set out:
  - the issue(s) which they wish the school to consider in accordance with Stage 3,
  - the reasons why they feel the outcome of Stage 2 was not satisfactory,
  - the outcomes which they are hoping to achieve

#### Stage 3: Complaint is heard by a Complaints Appeals Panel

- The Clerk will endeavour to acknowledge the request to proceed to Stage 3 within **two school days** of receipt, during term time. Assistance with the request for a hearing can be provided through the Clerk who will make appropriate arrangements.
- The panel will have access to the existing record of progress for the complaint.

- The Clerk will convene the Appeals Panel as soon as reasonably practicable, but the Appeals Panel will not normally meet during a school holiday. Every effort will be made to enable the Appeals Panel hearing to take place within twenty school days of the receipt of the request.
- As soon as reasonably practical, and, in any event, at least five school days before the hearing, the Clerk will send written notification of the date, time and place of the hearing.
- If reasonable attempts have been made to accommodate complainants with dates for a panel hearing, and they refuse or are unable to attend, the panel can convene meetings in their absence and reach a conclusion in the interests of drawing the complaint to a close.

#### Panel Members / Chair

- The Appeals Panel will normally be made up of two members of the Governing Body and one independent member (independent of the governance, management and running of the school). The two school governors must have no detailed prior knowledge of, or interest in, the circumstances of the complaint. Every effort will be made to ensure that panels are unbiased and balanced.
- The hearing will be chaired by one member of the Appeals Panel, chosen by the Panel members.
- The Panel may engage an advisor to the Panel, to provide them with appropriate guidance (for instance in relation to procedural matters), who may at their request be present at the hearing.
- Complainants may request an independent panel if they believe that there may be bias in the proceedings. Complainants must provide evidence to support their request and it is for the Governors to decide whether to agree to it.

#### Attendees

- The complainant is entitled to be accompanied by a friend or relative at the hearing if they wish. Whilst the hearing is not a legal forum, if the complainant wishes to be accompanied by a legally qualified person, acting in their professional capacity, they may do so but must notify the Clerk at
- least 5 school days before the hearing. The panel / school may then choose to have legal representation.
- The Panel will invite such witnesses, including representation from the school, as they believe are relevant to give evidence to the Panel, and who will be asked by the Panel to give evidence as the Panel considers appropriate in all of the circumstances.
- The Panel will proceed on the basis that different parties attend separately whether complainant, school representative or witnesses.

#### Note taking

- The hearing is not a legal proceeding. All statements made at the hearing will be unsworn. All present will be entitled, should they wish, to write their own notes for reference purposes.
- The Clerk (or agreed substitute) will keep a record of the hearing, a copy of which (excluding any confidential information) will be made available to all parties after the hearing for information.

- In addition, the findings and recommendation of the panel will be available for inspection on the school premises by the Headteacher.
- A hearing before the Appeals Panel is a private proceeding. No notes or other records or oral statements about any matter discussed in or arising from the proceeding shall be made available directly or indirectly to the press or other media or to the public at large. No part of the proceeding must be recorded (audio or video) without the express permission of all present.

#### The role of the Complaints Appeals Panel

- The purpose of an Appeals Panel hearing is to review the decisions taken by the Headteacher (or the Chair of Governors) at Stage 2. It is not a further investigation of the complaint. The aim will be to seek to resolve the complaint wherever possible.
- However, it may only be possible to establish the facts of a situation and make any appropriate recommendations about future action.
- The Appeals Panel will firstly seek to establish the facts (i.e. what the Panel believe is more likely than not) surrounding the complaint by considering:
  - the documents provided by both parties; and
  - any representations made by the complainant, their representative (if relevant), the Headteacher and/or the Chair of Governors, and any witnesses.
- The Appeals Panel will take into account such information as was provided by the complainant in their their original complaint at Stage 1 and at Stage 2. The Panel will not consider any new areas of complaint that have not been previously raised.
- The Appeals Panel shall then reach a decision in relation to the issues raised in the complaint, and consider whether any recommendations are appropriate as per the 'Decision' section below.

#### Conduct of the hearing

- The Panel will meet with the Complainant, the Headteacher (or other appropriate representative of the school) or any witnesses separately.
- The Panel Chair will conduct the hearing in such a way as to ensure that the respective parties have the opportunity of raising their concerns, responding to issues raised, and asking questions in an appropriate manner.
- The format of the hearing is for the Panel to determine at their discretion in light of the circumstances of the case, any representations made by the respective parties, and the nature of the issues to be determined.
- The hearing is not a legal proceeding and the Appeals Panel shall be under no obligation to hear oral evidence from witnesses but may do so, and/or may take written statements into account.
- All those attending the hearing are expected to show courtesy, restraint and good manners throughout the hearing. Parties should not interrupt when another is speaking, and questions and concerns will be raised through the Panel. Shouting, bad language, or threatening behaviour will not be tolerated under any circumstances. Any person who is dissatisfied with any aspect of the way the hearing is conducted must say so before the proceedings go any further and his / her comment will be noted.

- If the Panel Chair is unhappy with the behaviour of one of the parties, they may at their discretion either adjourn or terminate the hearing. If terminated, the original decision will stand.
- The Panel Chair may also at their discretion adjourn the hearing for further investigation of any relevant issue, or adjourn the hearing to take legal advice.
- Once the Panel have heard such representations as they believe are required to enable them to form a decision on the issues raised, the Panel Chair will close the hearing and inform the parties in relation to the decision-making process (as per below).

#### Complaints Appeals Panel Decision

- The Complaints Appeals Panel will make a decision about the outcome of the complaint, based on the information supplied. It can:
  - Reject the complaint in whole or part
  - Uphold the complaint in whole or part
  - Decide on the appropriate action to be taken to resolve the complaint
  - Recommend changes to the school's systems, procedures or policies to ensure that similar problems do not recur
- The panel may make specific recommendations to the headteacher or to the governing body. These will be consistent with the appropriate responses identified in 'A2: Policy Principles'.
- The panel does not have the powers to make any financial award, impose sanctions on staff, pupils, or parents/carers.
- The panel's findings, decision, and any recommendations may be notified orally and will be confirmed in writing to both parties in the complaint, the headteacher and chair of governors within five school days of the close of the panel hearing.
- After due consideration of the matters discussed at the hearing, the Appeals Panel shall then reach a decision in relation to the issues raised, and consider whether there are any recommended steps which they believe are appropriate as a result.
- The decision, findings and any recommendations may be notified orally and will be confirmed in writing (by electronic mail where appropriate) to the parties within five school days of the Panel hearing.
- The findings and recommendations of the panel will be available for inspection on the school premises by the Headteacher. If electronic mail is an inappropriate medium, a copy of the decision will be handed or posted to the complainant.

If the Appeals Panel decides that:

- a) The complaint falls outside the scope of this process, the Panel Chair will inform the complainant of any further recourse he or she may have.
- b) The complaint has been upheld, the Panel Chair will inform the complainant of any action taken or proposed, including details of any request to those complained against, to take particular actions to resolve the complaint.

c) Further investigation is required, the Panel Chair will inform the complainant of the steps to be taken and of the progress made. Any subsequent meeting of the Appeals Panel will be subject to the provisions described above in so far as they are relevant.

#### Referral to the Education and Skills Funding Agency (ESFA)

If the complainant is dissatisfied with the decision of the Appeals Panel they have the right to contact the ESFA and the notification of the decision of the Appeals Panel will include an explanation of how such complaints can be made.

Complainants will also be reminded that:

- The ESFA will expect that the school's complaints process has been fully completed first.
- The ESFA cannot overturn the decision about a complaint. Their role is to make sure the complaint is handled properly by following a published procedure that complies with part 7 of the Education (Independent School Standards) Regulations 2014.

# The ESFA will only consider the complaint if the complainant provides evidence that the school or trust:

- does not have a complaints procedure
- did not provide a copy of its complaints procedure when requested
- does not have a procedure that complies with statutory regulations
- has not followed its published complaints procedure
- has not allowed its complaints procedure to be completed

#### The ESFA is not able to:

- overturn the governing body's decision
- re-investigate the original complaint
- review the accuracy of minutes taken or documents provided
- order the school to pay the complainant compensation
- direct the school to discipline / exclude pupils
- force the school to discipline / dismiss staff
- instruct the school to apologise to the complainant.

When considering a complaint, the ESFA will review all the evidence provided, including the school's published policies, to determine whether it is appropriate to take any action. Action taken, where appropriate, typically involves explaining the legislative framework and what it means in practice at the trust level or recommending improvements to statutory policies. For more information or to refer a complaint, see the following webpage:

https://www.gov.uk/government/publications/complain-about-an-academy/complain-about-anacademy

# C. Further Complaints guidance

#### C1 Complaints about the Headteacher

Complaints about the Headteacher should be directed to the Clerk to Governors in the first instance. These will be investigated by the Chair or Vice-Chair of Governors as appropriate, at Stage 2 of the procedure.

#### C2 Complaints against Governors

- Complaints against individual governors are made to the clerk to the governing body, the clerk should then arrange for the complaint to be heard. This should be by the chair of governors and then a panel hearing if applicable, following Stage 2 and Stage 3 of the complaints procedure. Where it is inappropriate for the Chair or Vice-Chair to investigate (or where they are the subject of the complaint) another Governor will be appointed to investigate.
- complaints against the chair, the entire governing body or complaints involving both the chair and vice chair should also be sent to the clerk, who should then determine the most appropriate course of action. This will depend on the nature of the complaint. It will be investigated by someone independent of the Governing Body, possibly by asking a local Governing Body or by employing an external investigator. This decision will be made by the Clerk.
- Where a complaint concerns the Clerk to Governors, this should be addressed to the Headteacher or the School Business Manager in the first instance, who will decide the appropriate course of action.

In any instance, where it may be inappropriate for a Senior Staff Member, the Headteacher or a Governor to investigate a complaint, someone independent of the Governing Body will be asked to investigate; possibly a Member, someone from a local Governing Body, or an employed external investigator.

#### <u>C3</u> Complaints from Third Parties

Third party complaints are those that are received from third parties, who are not parents or carers of pupils at the schools. The informal Stage, Stage 1 and Stage 2 will operate in the same way for third party complaints as detailed above.

If the Chair of Governors (or another Governor) has investigated the complaint at Stage 2, this will be the end of the school process. If the Stage 2 investigation was carried out by the Headteacher, and the complainant remains unhappy, there will be a final Stage, in which the investigation will be referred to the Chair of Governors (or another Governor if appropriate) for a review of the process and outcome. The investigating Governor may involve another Governor if they consider it appropriate. Complaints against the Headteacher and Governors will follow the process set out above. Where a complainant tries to re-open an issue with the school after the complaints procedure has been fully exhausted and the school has done everything it reasonably can in response to the complaint, the Chair of Governors (or other appropriate person in the case of a complaint about the Chair) will inform the complainant that the matter is closed.

If the complainant subsequently contacts the school again about the same issue, the school can choose not to respond. The normal circumstance in which the school will not respond is if:

- The school has taken every reasonable step to address the complainant's needs, and
- The complainant has been given a clear statement of the school's position and their options (if any), and
- The complainant is contacting the school repeatedly but making substantially the same points each time

However, this list is not intended to be exhaustive.

The school will be most likely to choose not to respond if:

- We have reason to believe the individual is contacting the school with the intention of causing disruption or inconvenience, and/or
- The individual's letters/emails/telephone calls are often or always abusive or aggressive, and/or
- The individual makes insulting personal comments about, malicious complaints or threats towards, school staff

Once the school has decided that it is appropriate to stop responding, the complainant will be informed in writing, either by letter or email. Any further correspondence on the same issue will be placed on file.

The school will ensure that it acts reasonably when making this decision.

#### C5 Unreasonable Complaints

Stoke Bishop Church of England Primary is committed to dealing with all complaints fairly and impartially. The school will not normally limit the contact complainants have with the school. However, it is not expected that staff tolerate unacceptable behaviour. The school will take action to protect staff from that behaviour, including that which is abusive, offensive, threatening or harassing.

Unreasonable complainants are defined as 'those who, because of the frequency or nature of their contacts with the school, hinder our consideration of their or other people's complaints'.

Complainants should limit the numbers of communications with a school while a complaint is being progressed. It is not helpful if repeated correspondence is sent (either by letter, phone, email or text) as it could delay the outcome being reached.

A complaint may be regarded as unreasonable when the person making the complaint:

- refuses to articulate their complaint or specify the grounds of a complaint or the outcomes sought by raising the complaint, despite offers of assistance;
- refuses to co-operate with the complaints investigation process while still wishing their complaint to be resolved;
- refuses to accept that certain issues are not within the scope of a complaints procedure;

- insists on the complaint being dealt with in ways which are incompatible with the adopted complaints procedure or with good practice;
- introduces trivial or irrelevant information which the complainant expects to be taken into account and commented on, or raises large numbers of detailed but unimportant questions, and insists they are fully answered, often immediately and to their own timescales;
- makes unjustified complaints about staff who are trying to deal with the issues, and seeks to have them replaced;
- changes the basis of the complaint as the investigation proceeds;
- repeatedly makes the same complaint (despite previous investigations or responses concluding that the complaint is groundless or has been addressed);
- refuses to accept the findings of the investigation into that complaint where the school's complaint procedure has been fully and properly implemented and completed including referral to the ESFA;
- seeks an unrealistic outcome;
- makes excessive demands on school time by frequent, lengthy, complicated and/or stressful contact with staff regarding the complaint in person, in writing, by email and by telephone while the complaint is being dealt with.

A complaint may also be considered unreasonable if the person making the complaint does so either face-to-face, by telephone or in writing or electronically:

- maliciously;
- aggressively;
- using threats, intimidation or violence;
- using abusive, offensive or discriminatory language;
- knowing it to be false or using falsified information;
- publishing unacceptable information in a variety of media such as in social media websites and newspapers.

Whenever possible, the Headteacher or Chair of Governors will attempt to discuss any concerns or complaints with the complainant informally before registering it as 'unreasonable'.

If the behaviour continues the Headteacher will write to the complainant explaining that their behaviour is unreasonable and asking them to change it. Where the behaviour does not change the school may take actions including (but not limited to):

- Allocating a single member of staff as a point of contact for the person. There may also be a specific regular window of time in which contact may take place. Any other member of staff will simply refer any complaints / issues to the nominated point of contact. If necessary, the school may seek an independent / external person to fulfil this role.
- Requesting that all communication with the school be conducted in a particular way, i.e. via e-mail
  or letter, or in face to face meetings with a witness, depending on what is most appropriate in each
  circumstance. Where this is requested, other forms of communication initiated by the complainant
  will not receive a response / will receive a standard written response reminding them of the
  requested form of communication.
- Setting a limit to the number of communications that a complainant may make contact, for example a fixed number of contacts per term.
- In particular cases, those who are repeatedly aggressive or abusive towards school staff may be banned from school premises and special arrangements will be made for drop-off / collection of their children and communication with the school.

The school will not normally investigate anonymous complaints. However, the head teacher or chair of governors, if appropriate, will determine whether the complaint warrants an investigation.

#### C7 Complaint Campaigns

Occasionally schools become the focus of a campaign and receive large volumes of complaints all based on the same subject and potentially from complainants unconnected with the school. These can take considerable time and disrupt the core work of the school. Where the school feels this is the case, we may take a number of actions including, but not limited to:

- Sending a template response to all complainants.
- Publishing a single response on the school website.
- Where complaints repeat, or are substantially the same as, complaints that have already completed the complaints process, treat these as persistent complaints even though they are from different individuals.
- Choosing not to continue to respond to complaints that we reasonably believe to be part of a campaign, especially if they would meet the criteria for persistent complaints if they were from the same individual.
- The school will communicate the reasons and rationale for considering complaints to be part of a campaign but will not enter into lengthy debates. Complainants retain the right to contact the ESFA if they feel their complaints are not being appropriately dealt with.

#### <u>C8</u> Recording meetings or interactions

The ESFA make it explicitly clear that schools have the right to refuse to accept, as evidence, recordings of conversations that were obtained covertly and without informed consent of all parties being recorded. Complainants should make sure they obtain informed consent from all parties present before recording conversations or meetings.

The school does not normally accept electronic recordings as evidence when we are asked to consider a complaint. However, we may accept independently notarised transcriptions of recordings. We may also ask for the written consent of all recorded parties.

#### <u>C9</u> Scope of this complaints procedure

This procedure covers all complaints about any provision of community facilities or services by Stoke Bishop C of E Primary School, other than complaints that are dealt with under other statutory procedures, including those listed below (Appendix B).

#### **APPENDIX A**



Stoke Bishop C of E Primary School Formal Complaint Procedure

#### **Formal Complaint form**

| Your Name    | Date                  |  |
|--------------|-----------------------|--|
| Child's Name | Child's class         |  |
| Child's DoB  | Relationship to child |  |

Please indicate by ticking below what the complaint concerns

| Teaching staff                | Visiting teaching staff |
|-------------------------------|-------------------------|
| Other staff                   | Headteacher             |
| Pupil                         | Parent                  |
| Governor / Clerk to Governors | Chair of Governors      |

Please give as much clear detail as possible, including names of anyone involved, relevant date/s and time/s of the incident / s

What action have you already taken to resolve your complaint – eg Who did you speak to and what was the response?

What do you feel might resolve the problem at this Stage?

Are you attaching any documents / paperwork related specifically to this complaint? Please tick below

|  | v   | es |
|--|-----|----|
|  | Y ( | es |

No

If yes, please give details of these below



Please hand this form into the school office in a named envelope OR email to the Head Teacher or Clerk to the Governors, as appropriate. (See Appendix D for relevant addresses)

Please Note:

The Formal Complaints procedure is as follows:

- Stage 1 Dealt with by a Senior Member of Staff
- Stage 2 Dealt with by the Headteacher or the Chair of Governors
- Stage 3 Dealt with by the Complaints Appeals Panel

<u>Once the above process is complete</u> if the complainant is dissatisfied with the decision of the Appeals Committee, they may contact the Education and Skills Funding Agency (ESFA) who will consider the complaint on behalf of the Secretary of State. Contact can be made online: <u>https://www.education.gov.uk/help/contactus/dfe</u>

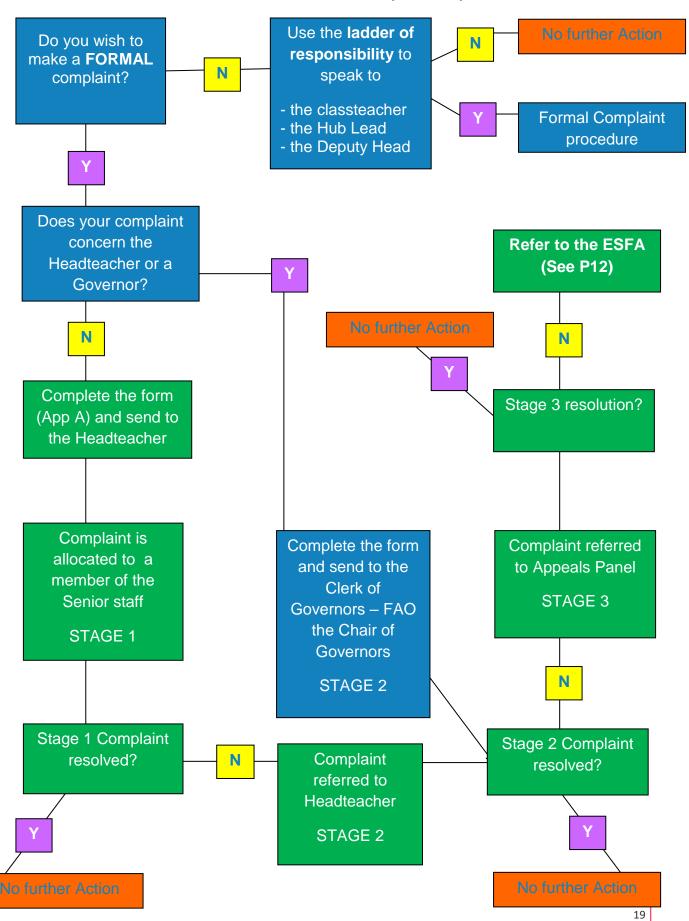
Please read the guidance in 'Referral to the ESFA' on P13 of the Policy.

#### For School Use:

| Date acknowledgement sent           |  |
|-------------------------------------|--|
| By whom                             |  |
| Member of SLT complaint referred to |  |
| Date referral made                  |  |
| Signature                           |  |
| Name                                |  |
| Date                                |  |

#### **APPENDIX B**

### Flow Chart of Complaints procedure



#### **APPENDIX C**

#### **Other types of Complaint**

#### **Exclusions**

Further information about raising concerns about exclusions is available in the School Behaviour Policy and Exclusion Policy.

Complaints about the application of the behaviour policy can be made through this complaints procedure.

#### **Admissions**

For school admissions, please refer to published guidance. In the first instance any concerns or complaints should be referred to the Headteacher.

#### Safeguarding / child protection issues

Complaints about child protection matters should be handled:

- under the school's child protection and safeguarding policy which can be found <u>here</u> on the school website
- in accordance with relevant statutory guidance

Refer to the school's Designated Safeguarding Officer or the Local Authority Designated Officer (LADO) for further information on this.

#### **Staff grievances or disciplinary procedures**

Complaints from staff should be dealt with under the school's internal grievance procedures.

Complaints about staff are dealt with under the school's internal disciplinary procedures, if appropriate.

However, a parent may raise a complaint about a staff member directly or indirectly with a member of the Senior Leadership Team (SLT).

Complainants will not be informed of any disciplinary action taken against a staff member as a result of a

complaint. However, complainants will be notified that the matter is being addressed.

#### **Whistleblowing**

The school has an internal whistleblowing policy and procedure for all members of staff.

## Key Contacts

| ROLE                             | WHO                     | EMAIL  |
|----------------------------------|-------------------------|--|
| School Office                    | Admin Team              | stoke.bishop.p@bristol-schools.uk                        |
| Head teacher                     | Mr David Forrester      | <u>head.stoke.bishop.p@bristol-</u><br><u>schools.uk</u> |
| Deputy Head teacher              | Mr Darren Hunt          | <u>darren.hunt@bristol-school.uk</u>                     |
| Chair of Governors               | Mrs Bridget Davies      | chair.stokebishopp@bristol-school.uk                     |
| Clerk to the Governors           | Mrs Sarah Richardson    | <u>clerk.stokebishopp@bristol-</u><br><u>schools.uk</u>  |
| Hub Lead – Explorers<br>(Rec)    | Mrs Vickie Melton       | vickie.melton@bristol-schools.uk                         |
| Hub Lead – Discoverers<br>(Y1&2) | Mrs Sarah Jayne Preston | <u>sarah-jayne.preston@bristol-</u><br><u>schools.uk</u> |
| Hub Lead – Inventors<br>(Y3&4)   | Mr Simon Parmiter       | simon.parmiter@bristol-schools.uk                        |
| Hub Lead – Innovators<br>(Y5&6)  | Mr Andrew Quinton       | andrew.quinton@bristol-schools.uk                        |